



AUTHORISATION FOR THIRD PARTY TRADING

1. Client Details (Individual/Joint / Estate / Deputy / Donee Account)

Client Name: _____

NRIC/Passport No: _____
Main Account Holder

NRIC/Passport No: _____
Joint Account Holder (where applicable)

Authorisation for third party(ies) as set out below in Section 2 below to purchase and sell securities for the following Trading Account(s):

Client Code(s): _____

2. Authorised Third Party Details (For Individual/Joint Account only)

CGS SG Staff/Trading Representative/Registered Representative cannot be an authorised third party.
CGS SG will reach out to non-existing client for identity verification.

Name: _____ NRIC/Passport No: _____
(copy of IC/PP required)

Residential/Mailing Address: _____

Contact No: _____ Relationship to Account Holder: _____

Other Nationality(ies): No Yes, please list all nationalities: _____
(copies of all passports required)

2A. Authorised Third Party Details (For Estate / Deputy / Donee Account only)

Name	NRIC/Passport No	Contact No
(1) _____	_____	_____
(2) _____	_____	_____

Relationship to Account Holder: Executor(s) Administrator(s) Deputy(ies) Donee(s)

3. Declaration and undertaking by Account Holder(s)

- I/We, the undersigned write to notify you that I/we have appointed the third party as per section 2 or where such third party(ies) are Executor(s) / Administrator(s) / Deputy(ies) / Donee(s), as set out in section 2A (my/our "Agent") to act on my/our behalf to give instructions to purchase (not applicable for section 2A Agents) and sell securities and any other products offered by you of every type and description including but not limited to stocks, shares, bonds, debentures, leveraged foreign exchange and all derivatives thereto including warrants and options and futures contracts. Where I/we have appointed two (2) Agents as set out in Section 2A (For Estate / Deputy / Donee Account only), I/we agree that any one (1) of such Agents are to act on my/our behalf to give instructions to sell securities and any other products offered by you of every type and description including but not limited to stocks, shares, bonds, debentures, leveraged foreign exchange and all derivatives thereto including warrants and options and futures contracts and any exercise of any one (1) Agent of such authority, obligations, powers and liabilities shall be deemed to have been jointly made.
- I/We acknowledge that prior to completing and executing this authorisation letter I/we have read and understood the risk disclosure statement stated overleaf.
- I/We further declare and agree that:
 - my/our Agent shall have power as my/our agent to act as fully and effectually for the purpose(s) contemplated herein as I/we could do personally, including the right to have access to any and all information relating to my/our account/s;
 - all acts and matters previously done by my/our Agent in respect of my/our account(s) opened and maintained with you are hereby deemed ratified and confirmed; and
 - notwithstanding the authorisation given to my/our Agent above, I/we may still communicate instructions to you personally.
However, in the event of any inconsistency between instructions communicated by my/our Agent and instructions communicated by me/us personally, you shall have the absolute discretion (but shall not be obliged) to act upon the instructions communicated last in time and in your sole opinion considered capable of being acted upon, and in so acting you shall not be liable for any loss whatsoever and howsoever arising.
- I/We further acknowledge that my/our appointment of my/our Agent is made by me/us of my/our own free will and as a result of my own/our judgment and deliberations. You shall accordingly be under no liability whatsoever in respect of any loss or damage which I/we may suffer or incur as a result of the acts or omissions of my/our Agent (including any acts involving dishonesty, criminal breach of trust, theft or other criminal offences). I/We further agree to be fully responsible for such acts or omissions of my/our Agent and shall indemnify you for and against any and all claims, liabilities, penalties, adverse consequences, losses, damages, costs or expenses (including legal fees on a full indemnity basis) which you may incur, be subjected to or suffer, arising out of, in relation to or in connection with, your relying on or acting in accordance with the acts and/or omission of my/our Agent within the



scope of my/our Agent's ostensible authority. In this connection I/we also acknowledge that any and every instruction given by my/our Agent for my/our account or to be attributed thereto shall be deemed to be given in exercise or purported exercise of the authority conferred hereunder and not in some other capacity. I/We also acknowledge that you are not obliged to ascertain or enquire into the terms and conditions on which my/our Agent was appointed, the context or circumstances in which any decision was taken by my/our Agent, the soundness of such decision or the purpose for which any of the decisions were taken.

- The appointment of my/our Agent and authority conferred shall continue until written notice of revocation by me/us is received by you. I/We undertake to ratify and confirm all acts and things done by my/our Agent on my/our behalf until your receipt of such notification.

Risk Disclosure Statement

PLEASE DO NOT SIGN THIS LETTER OF AUTHORISATION IF YOU DO NOT FULLY UNDERSTAND THE CONSEQUENCES OF SIGNING IT.

This letter of authorisation is an IMPORTANT DOCUMENT. By signing it, you are authorising your Agent to enter into securities trading transactions on your behalf. You should know that your Agent will be acting as your agent. We will therefore not be responsible for any acts or omissions of your Agent in respect of any acts and/or things which may be done by him or her in exercise of authority you have given by signing this letter. In particular, we will not be responsible for any theft, misappropriation or other criminal, fraudulent or negligent acts of your Agent with respect to your share transactions.

Please also particularly note that in granting this discretionary trading authority your Agent may effect all trading transactions without reference back to you.

I/We acknowledge that I/we have read the Risk Disclosure Statement and understand its contents. I/We am/are signing this letter of authorisation after having reviewed the risks pointed out to me/us and am/are willing to accept the same and I/we am/are signing this letter of authorisation voluntarily.

Declaration and Undertaking by Individual/Joint Account Holder(s)		Declaration by Authorised Third Party
<p>I/We hereby confirm the appointment of the individual, whom I/we declare is not a staff/trading representative/registered representative of CGS SG, stated in Section 2 as my/our Agent and that I/we have read, understood, accepted and agreed to make the declarations and undertakings as set out in Section 3 above and be bound by each of the terms and conditions as set out in this letter of authorisation.</p>		<p>I declare that I am not under 18 / 21* years of age or an undischarged bankrupt. *applicable for specific product(s)</p>
<p>_____ Signature of Main Account Holder</p>	<p>_____ Signature of Joint Account Holder (where applicable)</p>	<p>_____ Signature of Authorised Third Party</p>
<p>Name: _____</p>	<p>Name: _____</p>	<p>Name: _____</p>
<p>Date: _____</p>	<p>Date: _____</p>	<p>Date: _____</p>

Declaration and Undertaking by Estate / Deputy / Donee Account Holders		
<p>We hereby confirm the appointment of the person(s) stated in Section 2A as our Agent(s) and that we have read, understood, accepted and agreed to make the declarations and undertakings as set out in Section 3 above and be bound by each of the terms and conditions as set out in this letter of authorisation.</p>		
<p>We declare that we are not under 18 years of age or undischarged bankrupts.</p>		
<p>_____ Signature</p>	<p>_____ Signature</p>	<p>_____ Signature</p>
<p>Name: _____</p>	<p>Name: _____</p>	<p>Name: _____</p>
<p>NRIC/PP No: _____</p>	<p>NRIC/PP No: _____</p>	<p>NRIC/PP No: _____</p>
<p>Date: _____</p>	<p>Date: _____</p>	<p>Date: _____</p>